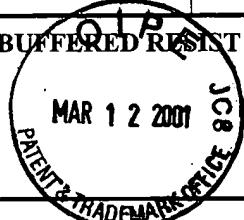


TRANSMITTAL LETTER
(General - Patent Pending)

Docket No.
11675.163.2

In Re Application Of: Terry N. Williams

Serial No.	Filing Date	Examiner	Group Art Unit
09/761,375	January 16, 2001	Not Yet Assigned	Not Yet Assigned
Title: BUFFERED RESIST PROFILE ETCH OF A FIELD EMISSION DEVICE STRUCTURE			



TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

Transmittal Letter (1 pg. in dup);
Transmittal of Information Disclosure Statement (2 pgs.);
Information Disclosure Statement (2 pgs.);
Information Disclosure Citation (1 pg.); Copies of Cited References
Certificate of Express Mailing #EL569074443US; Postcard

in the above identified application.

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Jesus Juanos i Timoneda, Ph. D.
Attorney for Applicant
Reg. No. 43,332



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Dated: March 12, 2001

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
11675.163.2

In Re Application Of: Terry N. Williams

Serial No.
09/761,375

Filing Date
January 16, 2001

Examiner
Not Yet Assigned

Group Art Unit
Not Yet Assigned

Title: **BUFFERED RESIST PROFILE ETCH OF A FIELD EMISSION DEVICE STRUCTURE**



Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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Attorney for Applicant
Reg. No. 43,332

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
11675.163.2

In Re Application Of: Terry N. Williams

Serial No.
09/761,375

Filing Date
January 16, 2001

Examiner
Not Yet Assigned

Group Art Unit
Not Yet Assigned

Title: **BUFFERED RESIST PROFILE ETCH OF A FIELD EMISSION DEVICE STRUCTURE**



Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

a certification as specified in 37 CFR 1.97(e);

OR

the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Terry N. Williams

Docket No. 478-01

11675.163.2

Serial No.
09/761,375Filing Date
January 16, 2001Examiner
Not Yet AssignedGroup Art Unit
Not Yet Assigned

Invention: BUFFERED RESIST PROFILE ETCH OF A FIELD EMISSION DEVICE STRUCTURE

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is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on March 12, 2001

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PATENT APPLICATION
Docket No: 11675.163.2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Terry N. Williams

Serial No.: 09/761,375

Filed: January 16, 2001

For: **BUFFERED RESIST PROFILE ETCH OF A
FIELD EMISSION DEVICE STRUCTURE**

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

The Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

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In accordance with 37 C.F.R. § 1.98(c), all English translations within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) of each non-English reference, if any, are also enclosed.

Since all listed references are either in the English language or are accompanied by a translation into English, no concise explanation of relevance is required under 37 C.F.R. § 1.98(a)(3).

<u>U.S. Patent No.</u>	<u>Date</u>	<u>Name</u>	<u>Class</u>	<u>Sub Class</u>
5,653,619	8/1997	Cloud	445	24
5,913,704	6/1999	Spindt	445	24
5,993,281	11/1999	Musket	445	50
5,229,331	7/1993	Doan	437	228
5,965,898	10/1999	Jones	257	10

Please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

Dated this 12th day of March 2001.



022901

PATENT TRADEMARK OFFICE

Respectfully submitted,

Jesús Juanós i Timoneda, Ph. D.
Attorney for Applicant
Registration No. 43,332

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1000 Eagle Gate Tower
60 East South Temple
Salt Lake City, Utah 84111
Telephone: (801) 533-9800
Facsimile: (801) 328-1707

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